

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

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**GW & WADE ASSET MANAGEMENT CO.
93 WORCESTER STREET, 4TH FLOOR
WELLESLEY, MA 02481**

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	84.18%
Limit orders as percentage of total limit orders	9.54%
Other orders as percentage of total other orders	6.29%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	58.47%
2. VIRTU Americas LLC	17.06%
3. G1 Execution Services, LLC	14.25%
4. Two Sigma Securities, LLC	6.40%
5. New York Stock Exchange	1.46%
6. Cboe EDGX U.S. Equities Exchange	1.12%
7. UBS Securities, LLC	0.90%
8. NASDAQ Execution Services, LLC	0.34%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	60.27%
Limit orders as percentage of total limit orders	47.06%
Other orders as percentage of total other orders	51.79%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.47%
Limit orders as percentage of total limit orders	10.59%
Other orders as percentage of total other orders	48.21%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.13%
Limit orders as percentage of total limit orders	7.06%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.20%
Limit orders as percentage of total limit orders	3.53%
Other orders as percentage of total other orders	0.00%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.29%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.76%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.93%
Limit orders as percentage of total limit orders	1.18%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.53%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	62.82%
Limit orders as percentage of total limit orders	28.39%
Other orders as percentage of total other orders	8.79%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	51.59%
2. VIRTU Americas LLC	18.88%
3. G1 Execution Services, LLC	16.28%
4. Two Sigma Securities, LLC	4.76%
5. NASDAQ Execution Services, LLC	3.17%
6. Cboe EDGX U.S. Equities Exchange	2.74%
7. UBS Securities, LLC	2.31%
8. State Street Global Markets, LLC	0.29%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.28%
Limit orders as percentage of total limit orders	47.72%
Other orders as percentage of total other orders	37.70%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.06%
Limit orders as percentage of total limit orders	11.68%
Other orders as percentage of total other orders	62.30%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.04%
Limit orders as percentage of total limit orders	15.23%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.19%
Limit orders as percentage of total limit orders	3.05%
Other orders as percentage of total other orders	0.00%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.17%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	9.64%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.44%
Limit orders as percentage of total limit orders	0.51%
Other orders as percentage of total other orders	0.00%

8. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.02%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	60.86%
Limit orders as percentage of total limit orders	17.75%
Other orders as percentage of total other orders	21.39%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.78%
2. VIRTU Americas LLC	22.19%
3. G1 Execution Services, LLC	14.10%
4. Two Sigma Securities, LLC	3.65%
5. UBS Securities, LLC	1.43%
6. Cboe EDGX U.S. Equities Exchange	1.11%
7. NASDAQ Execution Services, LLC	0.95%
8. State Street Global Markets, LLC	0.32%
9. GW & Wade Asset Management Company, Inc	0.32%
10. Cboe BZX U.S. Equities Exchange	0.16%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.73%
Limit orders as percentage of total limit orders	44.64%
Other orders as percentage of total other orders	65.19%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.97%
Limit orders as percentage of total limit orders	21.43%
Other orders as percentage of total other orders	34.81%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	19.53%
Limit orders as percentage of total limit orders	12.50%
Other orders as percentage of total other orders	0.00%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.17%
Limit orders as percentage of total limit orders	6.25%
Other orders as percentage of total other orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.08%
Limit orders as percentage of total limit orders	0.89%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.25%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.36%
Other orders as percentage of total other orders	0.00%

8. State Street Global Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.79%
Other orders as percentage of total other orders	0.00%

9. GW & Wade Asset Management Company, Inc

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.52%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.89%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	83.33%
Limit orders as percentage of total limit orders	16.67%
Other orders as percentage of total other orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	50.00%
2. NASDAQ PHLX Options	33.33%
3. NYSE Arca Options	16.67%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	60.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

3. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%